

Asymptotic Development by Gamma Convergence

Gianni Dal Maso's 65th birthday

Giovanni Leoni

Carnegie Mellon University

January 27, 2020

CMU, October 2002



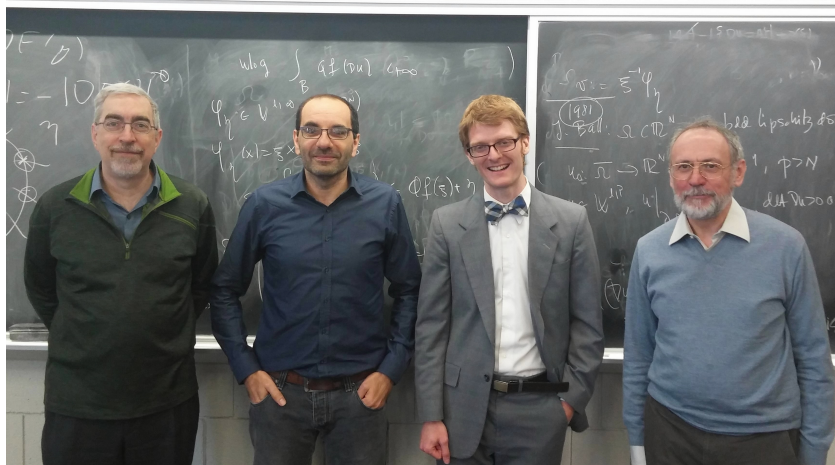
CMU, Workshop 2003



Miami, December 2009



CMU, May 2016



- **2-quasiconvexity.** Dal Maso, Fonseca, G. L., & Morini, ARMA, 2004;
- **Image restoration.** Dal Maso, Fonseca, G. L., & Morini, SIMA, 2009;
- **Counterexample integral representation.** Dal Maso, Fonseca, & G. L., Adv. Calc. Var., 2010;
- **Singularly perturbed problems.** Chermisi, Dal Maso, Fonseca, & G. L., Indiana Univ. Math. J, 2011;
- **Singular parabolic equations, Hilbert transform.** Dal Maso, Fonseca, & G. L., ARMA, 2014;
- **Second order Gamma convergence.** Dal Maso, Fonseca, & G. L., Calc. Var. Partial Differential Equations, 2015;
- **Nonlocal functionals.** Dal Maso, Fonseca, & G. L., Trans. Amer. Math. Soc., 2018;
- **Minimizing movements, elliptic systems in domains with corners.** Dal Maso, Fonseca, & G. L., in preparation.

Outline of the Talk:

- Gamma-Asymptotic Development of Order k

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 - [G.L. and R. Murray](#), 2016, ARMA, & 2019, Proc. Amer. Math. Soc.
 - [G. Dal Maso, I. Fonseca and G.L.](#), 2015, Calc. Var. Partial Differential Equations.

Gamma-Convergence

- De Giorgi (1975), De Giorgi and Franzoni (1975)

Definition

X metric space, $\mathcal{F}_\varepsilon : X \rightarrow [-\infty, \infty]$, $\varepsilon > 0$, Γ -converges if there exists $\mathcal{F}^{(0)} : X \rightarrow [-\infty, \infty]$ such that

- for every $x \in X$ and every $x_\varepsilon \rightarrow x$,

$$\liminf_{\varepsilon \rightarrow 0^+} \mathcal{F}_\varepsilon(x_\varepsilon) \geq \mathcal{F}^{(0)}(x),$$

We write $\mathcal{F}_\varepsilon \xrightarrow{\Gamma} \mathcal{F}^{(0)}$.

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Gamma-Asymptotic Developments

- Anzellotti and Baldo (1993)

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X metric space, $\mathcal{F}_\varepsilon : X \rightarrow (-\infty, \infty]$ has a Γ -asymptotic development of order k ,

$$\mathcal{F}_\varepsilon^{(0)} \stackrel{\Gamma}{=} \mathcal{F}^{(0)} + \varepsilon \mathcal{F}^{(1)} + \dots + \varepsilon^k \mathcal{F}^{(k)} + o(\varepsilon^k),$$

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- **Goal/Hope** find k so that $\{\text{limits of minimizers of } \mathcal{F}_{\varepsilon_m}\} = \mathcal{U}_k.$

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Example

Let $X = \mathbb{R}$ and

$$\mathcal{F}_\varepsilon(x) = \varepsilon^k |x|$$

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Let $X = [0, 1]$ and

$$\mathcal{F}_\varepsilon(x) = \begin{cases} \varepsilon^n |x| & \text{if } x \in (2^{-n}, 2^{-n+1}], n \in \mathbb{N}, \\ 0 & \text{if } x = 0. \end{cases}$$

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Dirichlet–Neumann Problems

$$\begin{cases} \Delta u_0 = f & \text{in } \Omega, \\ \partial_\nu u_0 = 0 & \text{on } \Gamma_N, \\ u_0 = g & \text{on } \Gamma_D, \end{cases}$$

- $\Omega \subset \mathbb{R}^N$ open, bounded, $\partial\Omega$ Lipschitz continuous,

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- $f \in L^2(\Omega)$, $g \in H^{3/2}(\partial\Omega)$

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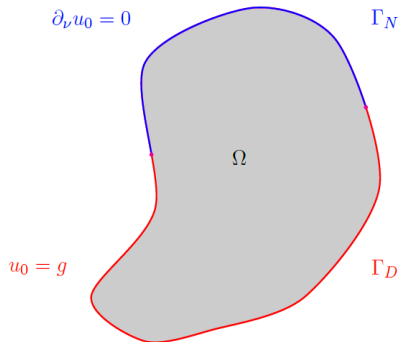


Figure: Courtesy of G. Gravina

Loss of Regularity

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- $N = 2$, $\Omega = \mathbb{R}_+^2 = \{(x_1, x_2) : x_2 > 0\}$,

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- $N = 2$, $\Omega = \mathbb{R}_+^2 = \{(x_1, x_2) : x_2 > 0\}$,
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- in polar coordinates

$$u_0(r, \theta) = r^{1/2} \sin(\theta/2),$$

- $u_0 \notin H^2(\Omega \cap B((0,0), r))$ for every $r > 0$.

Main Hypotheses

$$\begin{cases} \Delta u_0 = f & \text{in } \Omega, \\ \partial_\nu u_0 = 0 & \text{on } \Gamma_N, \\ u_0 = g & \text{on } \Gamma_D, \end{cases}$$

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- $f \in L^2(\Omega)$, $g \in H^{3/2}(\partial\Omega)$.

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Theorem

$$u_0 = u_{\text{reg}} + \sum_{i=1}^2 c_i \varphi_i(r_i) r_i^{1/2} \sin(\theta_i/2),$$

- $u_{\text{reg}} \in H^2(\Omega)$, $c_i \in \mathbb{R}$, with

$$\|u_{\text{reg}}\|_{H^2(\Omega)} + \sum_{i=1}^2 |c_i| \leq c \|f\|_{L^2(\Omega)} + c \|g\|_{H^{3/2}(\Omega)},$$

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- $\varphi_i \in C^\infty([0, \infty))$, $\varphi_i = 1$ in $[0, \delta_i/2]$ and $\varphi_i = 0$ outside $[0, \delta_i]$.

Singularly Perturbed Neumann–Robin

Lions (73), Colli-Franzone (73, 74), Costabel & Dauge (93)

$$\begin{cases} \Delta u_\varepsilon = f & \text{in } \Omega, \\ \partial_\nu u_\varepsilon = 0 & \text{on } \Gamma_N, \\ \varepsilon \partial_\nu u_\varepsilon + u_\varepsilon = g & \text{on } \Gamma_D. \end{cases}$$

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- What is the advantage?
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Asymptotics

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Theorem (Costabel & Dauge)

Under the main hypotheses, with $f = 0$,

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Gamma Asymptotic Development

$$\begin{cases} \Delta u_\varepsilon = f & \text{in } \Omega, \\ \partial_\nu u_\varepsilon = 0 & \text{on } \Gamma_N, \\ \varepsilon \partial_\nu u_\varepsilon + u_\varepsilon = g & \text{on } \Gamma_D. \end{cases} \quad (\text{N-R})$$

- Solutions u_ε of (N-R) are critical points of

$$F_\varepsilon(v) = \int_\Omega \left(\frac{1}{2} |\nabla v|^2 + fv \right) dx + \frac{1}{2\varepsilon} \int_{\Gamma_D} (v - g)^2 ds, \quad v \in H^1(\Omega).$$

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- Study compactness of bounded sequences.

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- Extend F_ε to $L^2(\Omega)$ via $F_\varepsilon(v) := \infty$ for $v \in L^2(\Omega) \setminus H^1(\Omega)$.

Gamma Asymptotic Development of Order 0

$$F_\varepsilon(v) = \int_{\Omega} \left(\frac{1}{2} |\nabla v|^2 + fv \right) dx + \frac{1}{2\varepsilon} \int_{\Gamma_D} (v - g)^2 ds, \quad v \in H^1(\Omega).$$

Theorem (G. Gravina & G.L.)

Under the main hypotheses, $F_\varepsilon \xrightarrow{\Gamma} F_0$ in $L^2(\Omega)$, where

$$F_0(v) = \int_{\Omega} \left(\frac{1}{2} |\nabla v|^2 + fv \right) dx$$

if $v \in H^1(\Omega)$ and $v = g$ on Γ_D , $F_0(v) = \infty$ otherwise in $L^2(\Omega)$.

- $\min F_0 = F_0(u_0)$, u_0 solution to Dirichlet–Neumann problem.

Compactness (of Order 1)

$$F_\varepsilon(v) := \int_\Omega \left(\frac{1}{2}|\nabla v|^2 + fv\right) dx + \frac{1}{2\varepsilon} \int_{\Gamma_D} (v - g)^2 ds, \quad v \in H^1(\Omega).$$

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Under the main hypotheses, let $\varepsilon_n \rightarrow 0^+$ and $v_n \in H^1(\Omega)$ be such that

$$\sup_n F_{\varepsilon_n}(v_n) \leq F_0(u_0) + c\varepsilon_n |\log \varepsilon_n|.$$

Then (up to a subsequence)

$$\frac{v_n - u_0}{\varepsilon_n^{1/2} |\log \varepsilon_n|^{1/2}} \rightharpoonup w_0 \quad \text{in } H^1(\Omega),$$
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Hardy's Inequality $p=N$

Compactness relies on the Hardy-type inequality

Theorem (Machihara, Ozawa, & Wadade, '12)

If $N = 2$ and $u \in H^1(B(0, r))$, $r > 0$, then

$$\left(\int_{B(0,r)} \frac{u^2(x)}{|x|^2(1 + \log(r/|x|))^2} dx \right)^{1/2} \leq \frac{\sqrt{2}}{r} \left(\int_{B(0,r)} u^2(x) dx \right)^{1/2} \\ + 2(1 + \sqrt{2}) \left(\int_{B(0,r)} \left| \frac{x}{|x|} \cdot \nabla u(x) \right|^2 dx \right)^{1/2} .$$

Hardy's Inequality $p=N$

- $\dot{W}^{1,p}(\mathbb{R}^N) = \{u \in W_{loc}^{1,p}(\mathbb{R}^N) : \nabla u \in L^p(\mathbb{R}^N; \mathbb{R}^N)\}$

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Theorem

Let $N \geq 2$ and let $u \in \dot{H}^{1,N}(\mathbb{R}^N)$. Then

$$\int_{\mathbb{R}^N} \frac{|u(x) - u_B|^N}{(1 + |x|^2 \log^2 |x|)^{N/2}} dx \leq c \int_{\mathbb{R}^N} |\nabla u(x)|^N dx$$

for some constant $c = c(N) > 0$.

Gamma Asymptotic Development of Order 1

$$F_\varepsilon(v) := \int_\Omega \left(\frac{1}{2} |\nabla v|^2 + fv \right) dx + \frac{1}{2\varepsilon} \int_{\Gamma_D} (v - g)^2 ds, \quad v \in H^1(\Omega).$$

- Recall $u_0 = u_{\text{reg}} + \sum_{i=1}^2 c_i \varphi_i(r_i) r_i^{1/2} \sin(\theta_i/2)$, u_0 solution to Dirichlet-Neumann problem.

Theorem (G. Gravina & G.L.)

Under the main hypotheses,

$$F_\varepsilon^{(1)} := \frac{F_\varepsilon - \min F_0}{\varepsilon |\log \varepsilon|} \xrightarrow{\Gamma} F_1 \quad \text{in } L^2(\Omega),$$

where $F_1(v) = -\frac{1}{8} \sum_{i=1}^2 c_i^2$ if $v = u_0$, $F_1(v) = \infty$ otherwise in $L^2(\Omega)$.

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$$\begin{aligned} F_\varepsilon(u_\varepsilon) &= F_0(u_0) + \varepsilon |\log \varepsilon| F_1(u_0) + o(\varepsilon \log \varepsilon), \\ \|u_\varepsilon - u_0\|_{L^2(\Omega)} &= \mathcal{O}(\varepsilon \log \varepsilon), \\ \|u_\varepsilon - u_0\|_{H^1(\Omega)} &= \mathcal{O}(\varepsilon^{1/2}). \end{aligned}$$

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Under the main hypotheses, let $\varepsilon_n \rightarrow 0^+$ and $w_n \in H^1(\Omega)$ be such that

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Then (up to a subsequence)

$$\frac{w_n - u_0 - \varepsilon_n u_1}{\varepsilon_n^{1/2}} \rightharpoonup p_0 \quad \text{in } H^1(\Omega),$$

$$\frac{w_n - u_0}{\varepsilon_n} - u_1 - \sum_{i=1}^2 c_i \psi_i [1 - \chi_{B(P_i, \varepsilon_n)}] \rightharpoonup q_0 - \sum_{i=1}^2 c_i \psi_i \quad \text{in } L^2(\Gamma_D).$$

Compactness (of Order 2)

$$F_\varepsilon(v) := \int_{\Omega} \left(\frac{1}{2} |\nabla v|^2 + fv \right) dx + \frac{1}{2\varepsilon} \int_{\Gamma_D} (v - g)^2 ds, \quad v \in H^1(\Omega).$$

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Theorem (G. Gravina & G.L.)

Under the main hypotheses,

$$F_\varepsilon^{(2)} := \frac{\frac{F_\varepsilon - \min F_0}{\varepsilon |\log \varepsilon|} - \min F_1}{1/|\log \varepsilon|} \xrightarrow{\Gamma} F_2 \quad \text{in } L^2(\Omega),$$

where $F_1(v) = A - \frac{1}{2} \int_{\Gamma_D} (\partial_\nu u_{\text{reg}})^2 ds$ if $v = u_0$, $F_2(v) = \infty$ otherwise in $L^2(\Omega)$.

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Future Work/Open Problems

- Asymptotic development of order 3.

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- Asymptotic development of order 3.
- $N \geq 3$.
- p -Laplacian

$$\begin{cases} \operatorname{div}(|\nabla u_0|^{p-2} \nabla u_0) = f & \text{in } \Omega, \\ |\nabla u_0|^{p-2} \nabla u_0 \cdot \nu = 0 & \text{on } \Gamma_N, \\ u_0 = g & \text{on } \Gamma_D. \end{cases}$$

Van Der Waals– Cahn–Hilliard Theory for Phase Transitions

- Free energy

$$F_\varepsilon(u) = \int_{\Omega} (W(u) + \varepsilon^2 |\nabla u|^2) dx.$$

Van Der Waals– Cahn–Hilliard Theory for Phase Transitions

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- **Van Der Waals (1893), Cahn and Hilliard (1958), Gurtin (1987)**

Zero Order Gamma Limit

Take $X = L^1(\Omega)$, $W^{-1}(\{0\}) = \{\pm 1\}$, and

$$\mathcal{F}_\varepsilon(u) := \int_{\Omega} (W(u) + \varepsilon^2 |\nabla u|^2) dx$$

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- Gonzalez, Massari and Tamanini (1983), Grüter (1987)

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Second Order Gamma Limit, $N = 1$

- Anzellotti and Baldo (1993)

$W^{-1}(\{0\}) = [-1 - \delta, -1 + \delta] \cup [1 - \delta, 1 + \delta]$, where
 $0 < \delta < 1$,

$$\int_{-L}^L u \, dx = m \rightsquigarrow u(-L) = \alpha, \quad u(L) = \beta.$$

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$$\frac{\int_{\mathbb{T}} \left(\frac{1}{4\varepsilon} (u_\varepsilon^2 - 1)^2 + \varepsilon |u'_\varepsilon|^2 \right) dx - c_0 \ell}{\varepsilon} \geq -16\sqrt{2} \sum_{n=1}^{\ell} e^{-\sqrt{2}d_{n,\varepsilon}/\varepsilon} + o\left(e^{-3\sqrt{2}d_{n,\varepsilon}/(2\varepsilon)}\right),$$

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- This inequality is sharp
- Similar result for W of class C^2 quadratic at ± 1 .

Second Order Gamma Limit, $N \geq 2$

- Anzellotti, Baldo, and Orlandi (1996) $W(s) = s^2$,

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$$\mathcal{F}_\varepsilon^{(2)}(u) = \frac{\int_\Omega \left(\frac{1}{4\varepsilon} (u^2 - 1)^2 + \varepsilon |\nabla u|^2 \right) dx - c_0 \operatorname{Per}_\Omega E_0}{\varepsilon}$$

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Theorem (G.L. and Murray)

Let $\Omega \subset \mathbb{R}^N$, $2 \leq N \leq 7$, be open, bounded, of class $C^{2,\alpha}$, $\alpha > 0$.

Then

$$\mathcal{F}^{(2)}(u) = -\frac{(N-1)^2}{9} \kappa^2$$

if $u = 1\chi_{E_0} - 1\chi_{\Omega \setminus E_0}$ and $\mathcal{F}^{(2)}(u) = \infty$ otherwise in $L^1(\Omega)$.

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$$H_\varepsilon(u) = \int_{-T}^T \left[\frac{1}{4}(u^2 - 1)^2 + \varepsilon^2 |u'|^2 \right] \omega(t) dt.$$

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Thank you!
Happy Birthday, Gianni!