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# Graphene Oxide Flakes Tune Excitatory Neurotransmission In Vivo by Targeting Hippocampal Synapses

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#### 19 ABSTRACT

20 Synapses compute and transmit information to connect neural circuits and are at the basis of brain 21 operations. Alterations in their function contribute to a vast range of neuropsychiatric and 22 neurodegenerative disorders and synapse-based therapeutic intervention, such as selective 23 inhibition of synaptic transmission, may significantly help against serious pathologies. Graphene 24 is a two-dimensional nanomaterial largely exploited in multiple domains of science and 25 technology, including biomedical applications. In hippocampal neurons in culture, small graphene 26 oxide nanosheets (s-GO) selectively depress glutamatergic activity without altering cell viability. 27 Glutamate is the main excitatory neurotransmitter in the central nervous system and growing 28 evidence suggests its involvement in neuropsychiatric disorders. Here we demonstrate that s-GO 29 directly targets the release of pre-synaptic vesicle. We propose that s-GO flakes reduce the 30 availability of transmitter, via promoting its fast release and subsequent depletion, leading to a 31 decline of glutamatergic neurotransmission. We injected s-GO in the hippocampus in vivo, and 32 forty-eight hours after surgery ex vivo patch-clamp recordings from brain slices show a significant 33 reduction in glutamatergic synaptic activity, in respect to saline injections.

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Graphene is a 2D material made of sp<sup>2</sup>-hybridized carbon atoms organized in a hexagonal lattice 39 40 and characterized by excellent physical features, including outstanding electron mobility and mechanical flexibility.<sup>1-3</sup> Because of its properties,<sup>4-6</sup> graphene is considered a rising star in a 41 growing number of technological developments, including biomedical ones.<sup>2,4,5,7</sup> In neurology, 42 43 graphene-based neuronal implants or bio-devices, may overcome current technical limitations in treating pathologies that range from neurooncology to neuroregeneration.<sup>8,9</sup> We reported recently 44 45 the ability of small, thin graphene oxide sheets (s-GO) to alter specifically neuronal synapses, with 46 no impact on cell viability. In particular, in cultured hippocampal networks, upon chronic longterm exposure to s-GO, glutamatergic release sites were sized down.<sup>10</sup> It is well known that 47 48 glutamate is the main excitatory neurotransmitter in the mammalian central nervous system (CNS) and mediates neuronal development, migration, synaptic maintenance and transmission.<sup>11-13</sup> An 49 50 uncontrolled release of glutamate in the extracellular space may lead to excitotoxicity, neurodegeneration and neurological disorders, including pain.<sup>14</sup> Localized targeting and fine-51 52 tuning of the glutamatergic system are attractive objectives in neuroscience. To achieve a deep 53 understanding of the interactions between s-GO and the machinery governing nerve cell functions 54 is mandatory to translate these findings into potential therapeutic applications. In particular, graphene translocation or adhesion to cell membranes<sup>15,16</sup> may potentially interfere with activities 55 56 such as the exocytic and endocytic trafficking systems, essential to physiological synaptic transmission.<sup>15,17</sup> Here, we describe, by single cell electrophysiology, how s-GO nanosheets 57 58 acutely tune synaptic release in excitatory synapses of hippocampal cultured neurons and acute 59 slices, by interfering with the probability of vesicle release. We propose that such interference leads to transmitter depletion and subsequent depression of the glutamatergic activity. We next 60 61 address whether such material similarly affects glutamatergic transmission in vivo, by injecting s-

GO in the dentate gyrus of the hippocampus of juvenile rats. We patch-clamped single neurons from ex vivo hippocampal slices, 48 h and 72 h after s-GO microinjections. We demonstrate that s-GO targets and down-regulates glutamatergic synapses in vivo and further illustrates the potential of s-GO flakes to be engineered as specific synaptic transmission modulators.

GO functionalization and characterization. The produced s-GO dispersion was visually 66 67 homogenous and of a brownish-translucent appearance. The dispersions did not show any evidence 68 of sedimentation or any other observable changes for over 6 months, indicating their physical 69 stability. The characterization of the s-GO nanosheets is presented in Figure 1 and in 70 Supplementary Figure S1. The morphological features of the s-GO nanosheets were examined 71 using AFM (Figure 1a) and TEM (Supplementary Figure S1a). Both analytical methods showed 72 that the lateral dimension of the s-GO nanosheets was predominantly between 100-300 nm with 73 very few larger sheets into the µm range (Figure 1b). Moreover, AFM revealed that the material is 74 composed of sheets from single to few-layer thickness (Supplementary Figure S1b). The material 75 structural features were studied by Raman spectroscopy which evidenced the presence of the characteristic G and D scatter bands at 1595 cm<sup>-1</sup> and 1330 cm<sup>-1</sup>, respectively (Figure 1c). The D 76 77 scatter band was markedly higher than the G band. The intensity ratio of these two peaks, known 78 as the I(D)/ I(G), was calculated to be  $1.31 \pm 0.01$ , indicating that the material hexagonal lattice 79 was defected. XPS analysis corroborated to the presence of functional groups (Supplementary 80 Figure S1c) and further indicated that the defects correspond to oxygen-containing functionalities. The C/O ratio was found to be 2.1 and the material chemical purity was 99.8 %.<sup>18</sup> The surface 81 82 functionalization was further supported by laser Doppler electrophoresis to indicate that the dispersed sheets had a surface charge of  $-55.9 \pm 1.4$  mV. Aiming to track the s-GO flakes within 83 84 neuronal tissue we performed covalent labeling of s-GO with quantum dot (QD) luminescent

85 nanoparticles. For this purpose, we first synthetized the AgInS2/ZnS-doped QDs capped with cysteine as described in the literature (see TEM images in Supplementary Figure S1d).<sup>19,20</sup> 86 87 Subsequently, the coupling with s-GO was achieved via epoxy ring opening with the amino groups 88 of the cysteine-capping agent. TEM microscopy shows the presence of small dark dots on the s-89 GO sheets associated with the presence of QDs on the surface (Supplementary Figure S1e, 90 indicated by the arrows) as confirmed by XPS survey analysis (Figure 1d). The UV-Vis spectrum 91 (Figure 1e) of the functionalized material showed a broadening of the absorption band between 92 300 and 600 nm due to the presence of the nanocrystals onto GO. Fluorescence characterization is 93 reported in Figure 1f; s-GO showed an emission centered at 585 nm attributed to the electronic 94 transitions from the bottom of conductive band and the nearby localized states to the valence band.<sup>21</sup> QDs have an emission centered at 706 nm due to transition between the conductive band 95 and the defected carbon lattice.<sup>22</sup> Interestingly, when OD were coupled to graphene oxide only s-96 97 GO luminescence was detected. The quenching of the QD emission may be attributed to an interfacial electron transfer between the QDs and the s-GO surface due to their close proximity.<sup>23,24</sup> 98 99 Surprisingly, the emission band centered at 585 nm, attributed to the GO photoluminescence, 100 appeared stronger in the case of QD-s-GO than in non-modified s-GO. Most probably, the energy 101 transfer process causes the decrease of donor emission (QD quenching) and increase the s-GO acceptor emission<sup>24</sup> allowing us to visualize the s-GO-QD in the biological environment (vide 102 103 infra).

# 104 s-GO targets synaptic vesicle release at glutamatergic synapses in cultured hippocampal 105 neurons. To unravel the mechanisms by which thin s-GO sheets affect neurotransmission, we 106 patch-clamped cultured hippocampal neurons while a second pipette for the local delivery of 107 standard saline solution of s-GO (100 $\mu$ g/mL; see Methods) was positioned at 200 $\mu$ m distance (by

108 microscopic guidance) from the recorded neuron (sketched in Figure 2a). We estimated that, at 109 this distance, the application of a brief (500 ms) pulse of pressure should result in a local (i.e. on 110 the patched cell) and transient delivery of s-GO at a concentration of at least 10% of that contained 111 in the pipette (see Methods). Spontaneous synaptic activity was recorded in the presence of 112 Tetrodotoxin, (TTX; 1 µM). In TTX, synaptic events, termed miniature post synaptic currents 113 (mPSCs), reflect the pre-synaptic, stochastic release of vesicles at individual synaptic terminals 114 impinging on the recorded neuron. mPSCs frequency reflects the pre-synaptic release probability 115 and on the number of synaptic contacts, while mPSCs amplitude is dictated by postsynaptic receptor sensitivity.<sup>25</sup> Baseline mPSCs were sampled before and after the local ejection of saline 116 117 or s-GO (Figure 2b). In cultured neurons, virtually all mPSCs were made up by excitatory (AMPA 118 glutamate receptor-mediated) events, identified by their fast kinetics (decay time constant  $\tau = 5 \pm$ 0.5 ms;<sup>26</sup>), and were thus named excitatory mPSCs (mEPSCs). Figure 2b shows representative 119 120 control (top) and s-GO (bottom) current tracings prior and after saline or s-GO solution, 121 respectively, were pressure ejected. In control neurons mEPSCs frequency did not change (from 122  $0.06 \pm 0.03$  Hz to  $0.065 \pm 0.04$  Hz after saline-ejection, n = 14; bar plot in Figure 2c, left). On the 123 contrary, acute s-GO ejection significantly increased (\* P < 0.05 Student's t-test) the mEPSCs 124 frequency (from  $0.04 \pm 0.01$  Hz to  $0.12 \pm 0.02$ , n = 13; bar plot in Figure 2c, left). The increase in 125 mEPSCs appeared with 8-10 s delay from the local s-GO ejection and completely reversed to 126 baseline values (0.04  $\pm$  0.01 Hz) 8-9 min following the acute application (bar plot in Figure 2c, 127 left). In all treatments, the mEPSCs amplitude was not affected (bar plot in Figure 2c, right). These 128 transient changes in the frequency of mEPSCs suggest a direct interference of s-GO with the presynaptic release machinery<sup>27,28</sup> and are consistent with the hypothesized targeting by s-GOs of 129 130 endo-exocytotic mechanisms. This hypothesis is also validated by the co-localization of bassoon

(pre-synaptic terminal marker<sup>29</sup>) and s-GO detected by confocal microscopy in a different set of 131 132 experiments, where s-GO was incubated (20 µg/mL; 30 min), before fixation of the cultures (see 133 Methods; Supplementary Figure S2 a and b, controls and s-GO, respectively). We further address 134 the dependency of these effects on the flakes' size. We adopted the same protocol to press-eject 135 GO flakes (same concentration as s-GO) characterized by different lateral dimensions: large GO (1-GO,  $\approx 2 \ \mu m$ ) or ultra-small GO (us-GO,  $\approx 40 \ nm$ ).<sup>18</sup> Supplementary Figure S2 c shows 136 137 representative control (top) and l-GO (bottom) current tracings sampled before and after the local 138 ejection of saline or 1-GO solutions. Opposite to s-GO, 1-GO did not change mEPSCs frequency 139 (from  $0.06 \pm 0.01$  Hz to  $0.07 \pm 0.02$  HZ after saline ejection, n = 5; from  $0.05 \pm 0.01$  Hz to  $0.07 \pm 0.01$  Hz to  $0.01 \pm 0.01$ 140 0.02 Hz after l-GO ejection, n = 5). Similarly (Supplementary Figure S2 d), us-GO did not 141 modulate mEPSCs frequency (from  $0.05 \pm 0.01$  Hz to  $0.07 \pm 0.02$  Hz, after saline. n = 8 and from 142  $0.05 \pm 0.01$  Hz to  $0.07 \pm 0.02$  Hz, after us-GO, n = 8).

Thus only s-GO transiently increased the frequency of mEPSCs. This apparent discrepancy with our previous results, where prolonged exposure to s-GO decreased glutamatergic activity,<sup>10</sup> may be explained by the emergence of glutamate depletion due to forced glutamate release. The latter leading to a transient facilitation followed, when s-GO is applied longer than the duration of the facilitatory effects, by a depression of vesicle release and thus a down-regulation of glutamate transmission.<sup>10</sup>

To investigate the s-GO interference with presynaptic release and whether this was truly selective for excitatory synapses, we tested the local delivery of s-GO nanosheets on the occurrence of evoked PSCs (ePSCs), by simultaneous whole-cell recordings from two monosynaptically connected neurons.<sup>26</sup> Action potentials were induced in the presynaptic neuron and the evoked postsynaptic unitary PSCs (delay 2 ms) were examined. In our in vitro system, monosynaptically

154 coupled pairs of neurons typically display either GABAA or glutamate AMPA receptor-mediated 155 evoked currents.<sup>26,30,31</sup> We identified the different populations of ePSCs on the basis of their kinetic properties and pharmacology.<sup>26,32</sup> In fact, GABAergic ePSCs were characterized by a slow decay 156 157 time constant ( $\tau = 23 \pm 7$  ms, n = 15 for each condition, control and s-GO; Figure 2d, left) and 158 were fully abolished by administration of 5  $\mu$ M Gabazine (n = 3). Glutamatergic AMPA receptor– 159 mediated ePSCs displayed fast decay ( $\tau = 7 \pm 1.2$  ms, n = 7 for each condition; Figure 2d, right) 160 and were further blocked by application of 10  $\mu$ M CNQX (n = 3). To investigate the presynaptic properties we adopted paired-pulse stimulation protocols.<sup>33,34</sup> In paired-pulse stimulation the 161 162 second response can be either facilitated or depressed. Usually, at a specific synapse, an increased 163 probability of neurotransmitter release will favor paired-pulse depression, while a decrease in the release probability favors facilitation.<sup>33,35,36</sup> Thus, differences in postsynaptic responses to paired-164 pulse stimulation indicate variations in presynaptic transmitter release.<sup>33,36-38</sup> To probe the changes 165 166 in efficacy of unitary ePSCs paired-pulse protocols were performed with short inter-stimulus 167 interval (50 ms). Figure 2d shows representative presynaptic pairs of action potentials (top) and 168 the corresponding monosynaptic GABAergic (left tracings) or glutamatergic AMPA receptor 169 (right) evoked currents (bottom) before and after s-GO local pressure ejection. We indirectly 170 assessed the GABA and glutamate release probability before and after saline (control) or s-GO 171 ejection by measuring the paired-pulse ratio (PPR, calculating the ratio between the mean peak amplitude of the second and the first PSC<sup>37,38</sup>). In control GABAergic and glutamatergic ePSCs 172 173 the resulting PPR indicated the presence of paired pulse depression and did not change upon saline 174 solution applications (for GABA<sub>A</sub> receptor-mediated pairs:  $0.5 \pm 0.2$  before and  $0.6 \pm 0.2$  after 175 saline; for AMPA receptor-mediated pairs:  $0.5 \pm 0.1$  before and  $0.6 \pm 0.2$  after saline, plot in Figure 2d). When investigating the impact of s-GO ejection, we detected a reduction (on average -32%) 176

177 in the amplitude of the first glutamatergic ePSC and a significant difference (\* P < 0.05 Student's 178 t-test) in PPR, indicative of paired-pulse facilitation, while the PPR did not change in GABAA 179 ePSCs (for AMPA mediated pairs:  $0.5 \pm 0.1$  before and  $2 \pm 0.9$  after s-GO; for GABA<sub>A</sub> mediated 180 pairs:  $0.5 \pm 0.2$  before and  $0.65 \pm 0.2$  after s-GO; summarized in the bar plots in Figure 2d, bottom). 181 Altogether these experiments strongly support a direct interference of s-GO flakes with synaptic 182 vesicle release, with an initial high rate of release followed by a decline when s-GOs are applied 183 longer<sup>10</sup> or when acting synergistically to the action potential-evoked activation of the exocytotic 184 apparatus, ultimately depleting evoked release, typically reflected by changes in ePSC amplitude.<sup>39,40</sup> Notably, only glutamatergic synapses were targets of the s-GO. 185

186 s-GO exposure specifically affects glutamatergic synapses in acute hippocampal slices. Since 187 cultured networks are simplified 2D models of immature brain circuits, we explored the ability of 188 s-GO to regulate glutamate synaptic activity in acute hippocampal slices, thus scaling up the 189 complexity of the tissue to the third dimension and testing more mature synapses. Single neuron 190 patch-clamp recordings were obtained from visually identified pyramidal cells in the CA1 191 hippocampal region. A second pipette was again positioned at a distance of 200 µm from the 192 recorded cell (sketched in Figure 3a) and filled with standard saline solution or with s-GO (100 193 µg/mL). Baseline PSCs were recorded before and after the local saline or s-GO ejection. Figure 194 3b shows representative current tracings of controls (top) and s-GO (bottom) before and after saline or s-GO solutions, respectively, were pressure ejected. In neurons exposed to saline solution, 195 196 spontaneous PSCs frequency did not change ( $6 \pm 2$  Hz before the pipette saline-ejection and  $5 \pm 1$ 197 Hz after the pipette saline-ejection, n = 14). On the contrary, acute s-GO ejection significantly 198 increased (\* P < 0.05 Student's t-test) the PSCs frequency (from a baseline of  $5 \pm 2$  Hz to a post 199 ejection frequency of  $8 \pm 2$  Hz, n = 13). The increase in PSCs after the local s-GO ejection was

200 reversible. In fact, PSC frequency fully returned to baseline values 7-8 min following the acute 201 application. In all treatments, the PSC amplitude was not affected. We further dissected the nature 202 of PSCs by the use of CNQX or Gabazine, isolating GABAA or AMPA receptor-mediated IPSCs 203 or EPSCs, respectively. When EPSCs were measured after s-GO ejection, we detected a strong 204 increase (\* P < 0.05 Student's t-test) in their frequency when compared to the saline solution 205 pressure application (4  $\pm$  1 Hz before and 4.7  $\pm$  1 Hz after the pipette saline-ejection; 4.2  $\pm$  0.9 Hz 206 before and  $7.3 \pm 1$  Hz after the s-GO ejection, Figure 3c). On the contrary, when we measured 207 IPSCs, their frequency was not affected both by saline  $(4.2 \pm 1 \text{ Hz before and } 4.8 \pm 1 \text{ Hz after},$ 208 Figure 3d) and s-GO ( $3.8 \pm 1$  Hz before and  $4.2 \pm 1$  Hz after, Figure 3d) pressure applications. 209 Such results support the notion of s-GO ability to specifically target excitatory synapses, even in 210 tissue explants. In cultured neurons as well as in acute hippocampal slices, the brief pressure 211 ejection of s-GO transiently increased the excitatory activity, apparently affecting glutamate 212 release machinery at the presynaptic site.

213 To ascertain whether prolonged interference of s-GO with excitatory synapses might indeed 214 reduce the activity of synapses capable of releasing glutamate, as observed in dissociated cultures<sup>10</sup> 215 we incubated acute slices with s-GO (50  $\mu$ g/mL) and we monitored PSCs frequency after 30 min 216 (n = 5), 45 min (n = 5), 3 h (n = 5) and 6 h (n = 8). Under these experimental conditions, s-GO 217 will be repeatedly presented at synapses, in the absence of the fast clearance brought about by 218 saline flow rate in the previous experiments (see Methods). The plots in Figure 3e compare the 219 frequencies of PSCs in Control and s-GO treated samples against 4 different exposure time points. 220 A progressive reduction in PSCs frequency was observed from 30 min to 6 h (from  $5.8 \pm 1$  Hz to 221  $3.6 \pm 0.8$  Hz), such changes were not detected in control (from  $5.0 \pm 1$  Hz to  $5.3 \pm 1$  Hz). In Figure 222 3e, by linear regression analysis of the two time progressions (Control and s-GO) combined to

multiple regression statistical analysis, we show that the zero slope hypothesis is accepted for
 Controls but not for s-GO, indicative of a significant progressive decrease in PSCs frequencies due
 to s-GO prolonged incubation.

226 In vivo intra-hippocampal s-GO delivery reversibly reduces glutamatergic synaptic activity 227 in juvenile rats with minimal tissue reaction. To gain more insights into the synapse specificity, 228 tissue reactivity and kinetics of s-GO in vivo, we injected in juvenile rats (P15) 1  $\mu$ L of s-GO (50 229  $\mu$ g/mL in saline solution; Figure 3f) in the dentate gyrus of the hippocampus and we patch-clamped 230 single neurons to measure glutamatergic synaptic activity from ex vivo hippocampal slices isolated 231 after 48 h and 72 h after the brain surgeries. As control, we injected 1 µL of saline solution in the 232 same anatomical region. Figure 3f shows representative current tracings of the recorded electrical 233 activity in acute slices isolated from the contralateral (not subjected to the injection), the control 234 saline- and s-GO-injected hemispheres, after 48 h from injection. After this time period 235 hippocampal slices isolated from s-GO treated animals showed a clear and significant (\*\* P < 0.01 236 two-way ANOVA) reduction in PSCs frequency  $(2 \pm 0.5 \text{ Hz}, n = 7)$ , when compared with slices 237 from the contralateral untreated hemisphere (5  $\pm$  1 Hz, n = 9) or with saline treated ones (4.3  $\pm$  1 238 Hz, n = 8; see plot in Figure 3g). Remarkably, such effects were reversible: upon 72 h recovery 239 post injections the reduction in synaptic PSCs frequency in s-GO treated slices is absent ( $6 \pm 1.3$ 240 Hz, n = 8 for contralateral slices;  $5.7 \pm 1.5$  Hz, n = 7 for saline-injected slices;  $6.2 \pm 1.8$  Hz, n = 7 241 for s-GO-injected slices; see plot in Figure 3g). In all treatments, the PSCs amplitude was not 242 affected. When pharmacologically discriminating GABA<sub>A</sub> and AMPA receptor-mediated PSCs, 243 we specifically detected after 48 h of s-GO a significant (\* P < 0.05 two-way ANOVA) reduction 244 in EPSCs frequency (2.9  $\pm$  0.8 Hz, n = 7), when compared with slices from the contralateral 245 untreated hemisphere (4.8  $\pm$  1 Hz, n = 9) or with saline treated ones (5  $\pm$  1.3 Hz, n = 8; see right

246 plot in Figure 3h). GABA<sub>A</sub> receptor-mediated PSCs where not affected by any treatment (from 3.5 247  $\pm$  1 Hz to 3.9  $\pm$  0.8 Hz after saline-ejection, n = 8, and from 3  $\pm$  0.5 Hz to 3.4  $\pm$  0.5 Hz after s-GO-248 ejection; n = 7). To prove the presence of s-GO and gain more insight regarding its fate within the 249 hippocampus in vivo, we used bright field microscopy with correlative Raman based mapping 250 (Figure 4a). Forty-eight h following intra-hippocampal delivery (50 µg/mL final concentration; 1 251 μL injected volume), the presence of s-GO could be positively identified within the 20 μm sections 252 of injected hippocampi, specifically within the confines of the dentate gyrus. However, 72 h post 253 injection, the material presence is shown to decrease. We also tested the hippocampi of rats that 254 were injected with a saline control and a higher concentration of s-GO (1.3 mg/mL; 1  $\mu$ L), which 255 served as negative and positive controls, respectively, to verify our data. The localization of s-GO 256 by using QD-s-GO (50 µg/mL; 1 µL) was performed next. Figure 4b shows the 257 immunofluorescence labeling of slices isolated from the treated hippocampus where the area of 258 injection is highlighted by the typical microglia reaction (Iba1 positive cells in green) due to the surgery per se.<sup>41,42</sup> QD-s-GO was typically localized in the area of injection after 24 h (red 259 260 staining). Next we investigated whether s-GO injection was affecting the number of synapses in 261 the injected brain area. We used bassoon marker for pre-synaptic terminals present in both glutamatergic and GABAergic synapses.<sup>29</sup> We quantified the co-localization of bassoon with 262 263 neurons (labeled with  $\beta$ -tubulin III) and we did not detect any difference between saline and s-GO 264 treated (48 h) animals in terms of bassoon volume at the injection site (saline  $552.68 \pm 155.06 \,\mu m^3$ and s-GO 570.40  $\pm$  115.74  $\mu$ m<sup>3</sup>; number of animals = 2 for each experimental group; Figure 4c,d). 265 266 To investigate tissue reactivity, in particular neuroglia responses, to s-GO following 48 h and 72 267 h, we performed immunohistochemistry experiments on treated animals to identify GFAP-positive 268 astrocyte and Iba1-positive microglia (number of animals = 3 for each experimental group; Figure

5a,b). We measured astrocytes and microglia located 300  $\mu$ m apart to the injection site in the medial and lateral directions, at such a distance we detected only a low tissue response in all groups (Figure 5c,d). Conversely, at the injection site the tissue reactivity was higher, as expected,<sup>41,42</sup> yet comparable between saline and s-GO. To note, astrocyte recruitment was decreased in s-GO treated animals, particularly after 48 h; while microglia reactivity was similar in saline and s-GO groups after 48 h, but it was significantly lower in s-GO treated animals after 72 h (Figure 5; \*\* P<0.01; two-way ANOVA).

276 We report here the ability of s-GO nanosheets to interact selectively with glutamatergic synapses, 277 affecting the efficacy of neurotransmission, in vitro and in vivo. In particular, in cultured 278 hippocampal neurons, brief exposures to s-GO promote an initial high rate of glutamate quantal 279 release, presumably by modifications at the pre-synaptic site, as indicated by the increase in frequency of spontaneous mEPSCs<sup>25,43,44</sup> and by the paired-pulse experiments.<sup>33,35-38</sup> We 280 281 hypothesize that this initial high rate of release depletes presynaptic glutamate and, in the 282 continuous presence of s-GO, inhibit glutamatergic transmission. Indeed, in the same preparation, 283 the decline in action potential-evoked monosynaptic EPSCs upon s-GO exposure supports the notion of a subsequent reduction in the probability of release following vesicle depletion<sup>45</sup> brought 284 285 about by s-GO. In all tests, the mere pressure ejection of saline solution without s-GO, or GO of 286 different dimensions, did not change spontaneous or evoked synaptic responses. Notably, 287 GABAergic synapses were never affected. The bi-phasic effects of s-GO, characterized first by a 288 transient increase in neurotransmitter release which, upon a potential reduction in the vesicle-pool 289 size, is followed by a depression, hints at the ability of s-GO to engage the presynaptic exocytotic 290 machinery, as also supported by the co-localization with pre synaptic terminal markers. 291 Neurotransmitter release, at the presynaptic site in the CNS, is controlled by specific proteins that

function in large complexes, displaying multiple roles in synaptic vesicle recycling.<sup>46</sup> In addition 292 to release-proteins another potential target of s-GO is represented by intracellular Ca<sup>2+</sup> levels, 293 known to regulate evoked neurotransmitter release<sup>45</sup> and recently reported to be modulated by 294 chronic exposure to s-GO.<sup>47</sup> Although we cannot exclude a role of presynaptic  $Ca^{2+}$  influx 295 296 contributing to acute s-GO effects, the detected increase in spontaneous miniature current frequency, much less dependent on  $Ca^{2+}$  levels,<sup>44,48</sup> and the absence of modulation by s-GO of the 297 GABAergic terminals, usually regulated by presynaptic Ca<sup>2+</sup> dynamics<sup>49</sup>, are suggestive of a Ca<sup>2+</sup> 298 299 independent mechanisms. The responses evoked by pressure ejected s-GO are reminiscent to those induced by hypertonic solutions<sup>50</sup>, however a simple osmotic mechanism is ruled out by the 300 301 selectivity of the effects (restricted to glutamatergic terminals) observed in all conditions tested 302 and by direct osmotic pressure measures (see Methods). The current data are in agreement with 303 our previous report, where a long-term (days) exposure to s-GO selectively down regulated excitatory neurotransmission leaving inhibitory synapses unchanged.<sup>10</sup> We previously 304 speculated<sup>10</sup> that differences in GABAergic and glutamatergic synaptic cleft ultrastructure, in 305 particular in the cleft size and organization<sup>51</sup>, might explain why the latter terminals became ideal 306 307 targets to s-GO interactions. To note, larger or smaller GO flakes did not modulate glutamate-308 mediated synaptic transmission. In this framework, we propose a simply mechanistic interpretation 309 of our current experiments: glutamatergic synapses, in virtue of their relatively larger size and less structured organization,<sup>51</sup> allow penetration of s-GO flakes which remain trapped within the cleft 310 311 and adhere to the plasma membrane at active release sites. GO nanosheets have been suggested to 312 adhere to complex patches of cellular membranes, rather than specific ones.<sup>52</sup> Also in our 313 experiments, the s-GO adhesion to the membrane may be supported by non-specific interactions (as described in other cell types<sup>52</sup>) accompanied by variable degrees of membrane deformations, a 314

315 mechanism further supported by our previous results showing astrocyte vesicle shedding when exposed to s-GO.<sup>10</sup> A deformation of synaptic active zones would interfere with the exocytosis 316 317 and neurotransmitter vesicle release by a mechanical mechanism reminiscent of, for example, stress induced ones,<sup>50</sup> not necessarily implying an impairment of membrane integrity. In fact, we 318 319 never observed any functional sign of membrane damage, and in addition the alterations in vesicle 320 release were reversible. An alternative mechanism, due to the physical properties of nanoparticles, is related to their surface potential, able to tune neuronal excitability.<sup>53</sup> We previously documented 321 that the s-GO surface potential, measured as zeta potential value<sup>10</sup>, is negative (-50 mV), thus the 322 323 negative charge may favor the s-GO interactions with neuronal membrane influencing the excitability of neurons.<sup>53</sup> Although we cannot exclude this mechanism, the short- and long- term 324 325 regulation and the selectivity for excitatory synapses are not explained by this interpretation. The 326 interface between dispersed s-GO sheets and the cell membrane is currently subject to active 327 investigation, due to its potential in modulating cellular mechanosensing for diverse biomedical applications, nevertheless the nature of such interactions is still elusive.<sup>52,54</sup> Synaptic vesicle 328 recycling machinery represents a feasible therapeutic target, regardless of the direct involvement 329 330 of presynaptic function in a pathological process. Even subtle alterations in (pre)-synaptic 331 communication hold the potential to compensate for deficits without interfering with postsynaptic 332 signaling. Pre-synaptically targeted drug development might be challenging due to the 333 sophisticated molecular complexity of the release machinery. The ability of s-GO to specifically 334 hook glutamatergic presynaptic nerve terminals is thus highly promising, however conventional 335 2D cultures may lack appropriate cell-extracellular matrix interactions, providing an artificially 336 higher access of exogenous agents to synapses. s-GO specificity towards glutamatergic synapses 337 may be virtually restricted to 2D bio-system models. This potential pitfall is excluded by our

338 experiments on acute hippocampal slices, the neuroscientist gold standard to investigate synaptic 339 functions in intact circuitries. The selective effect of s-GO on glutamatergic transmission is 340 preserved in tissue slices, where excitatory EPSCs are reversibly affected by s-GO, with a short-341 term up-regulation of release, turned into a down regulation upon prolonged exposure. The 342 ultimate potential of any s-GO sheets in the design of therapeutic strategies based on synaptic 343 targeting resides in testing their efficacy in vivo. We demonstrated the delivery of s-GO in vivo 344 by stereotactic injection and we have shown that such an administration of s-GO (but not the 345 surgery per se) in the hippocampus of juvenile rats significantly and selectively sized down 346 glutamatergic activity, in the absence of direct reduction in the number of synapses. We have also shown that local tissue responses to stereotactic injections<sup>41,42</sup> were not increased by the presence 347 348 of s-GO in terms of patterns of microglia together with astrocyte aggregation at the injection site. 349 These results are supportive of, within the concentrations tested, the in vivo biocompatibility of 350 the s-GO dispersions. In general, GO is characterized by better biocompatibility when compared 351 to other types of graphene (such as pristine graphene or reduced GO) and additional 352 functionalization might even further reduce the risk of inflammation and subsequent tissue toxicity.<sup>55</sup> Interestingly, our results also suggest a possible anti-inflammatory effect by limiting the 353 354 aggregation of astrocytes surrounding the stereotactic injection and lessening prolonged microglia reactivity.<sup>41,42</sup> This result, although preliminary, is in accordance with previous observations<sup>56</sup> and 355 356 renders further investigation. Exploiting s-GO in pre-synaptic drug design development certainly 357 requires additional studies, as well as to ascertain a more precise s-GO mechanism of action and 358 clearance, since in our experiments, due to diffusion, perfusion flow rate in vitro, potential membrane recycling,<sup>57</sup> and microglial uptake<sup>58</sup> we probably had only a "local" tissue clearance. 359 360 Besides, most of the studies evaluating the clearance in vivo of GO suggested that GO is rapidly

361 cleared, but have been performed in non-mammalian organisms.<sup>59,60</sup> In our proof-of-concept in 362 vivo study the coherence between the low detection of residual s-GO at 72 h and the reversibility 363 of the synaptic silencing upon 72 h are supportive of a direct, mechanical interaction at the pre-364 synaptic plasma membrane.

365 Methods

366 Graphene oxide nanosheets synthesis. GO was manufactured under endotoxin-free conditions through our modified Hummers' method as previously described.<sup>10,61</sup> Briefly in this procedure, 0.8 367 368 g of graphite flakes was added to 0.4 g of sodium nitrate (Sigma-Aldrich, UK). This was next 369 followed by the slow addition of 18.4 mL of sulfuric acid 99.999% (Sigma-Aldrich, UK). After a 370 homogenized mixture was achieved through stirring, 2.4 g of potassium permanganate (Sigma-371 Aldrich, UK) were added and maintained for 30 min. Thereafter, 37 mL of water for injection 372 (Fresenius Kabi, UK) were added. This resulted in an exothermic reaction. The temperature was strictly kept at 98 °C for 30 min. The mixture was next diluted with 112 mL of water for injection 373 374 (Fresenius Kabi, UK). Twelve mL of 30% hydrogen peroxide (Sigma-Aldrich, UK) were then 375 added to reduce the residual KMnO<sub>2</sub>, MnO<sub>2</sub>, and MnO<sub>7</sub> to soluble manganese sulphate salts. The 376 resulting mixture purified by repeated centrifugation cycles at 9000 rpm for 20 min until an 377 orange/brown gel-like layer of GO began to appear on at the pellet-supernatant interface which 378 occurred at around pH 6-7. This layer was carefully extracted with warm water for injection 379 (Fresenius Kabi, UK). This layer contained large GO sheets; the obtained material was diluted in 380 water for injection to yield an aqueous suspension with a concentration of 2 mg/mL. A portion of 381 this obtained material was then lyophilised, reconstituted in water for injection (Fresenius Kabi, 382 UK) and then sonicated in a bath sonicator (VWR, 80W) for 5 min. The resulting dispersion was 383 then centrifuged at 13000 rpm for 5 min at room temperature (RT); the supernatant which

contained the desired s-GO nanosheets was separated from the unwanted pellet. A thorough physico-chemical characterization of us-GO and 1-GO has already been reported.<sup>18</sup> Structural properties such as lateral dimension and thickness of the GO materials were then studied by AFM and TEM. Raman spectroscopy and  $\zeta$ -potential measurements were used to define the materials surface properties. TGA was also performed to examine the functionalization degree of the s-GO sheets. Moreover, XPS was used to examine the composition of the GO sheets, C/O ratio, and the presence of the different functional groups.

391 s-GO functionalization. OD have been prepared according to the literature by controlled 392 decomposition of Ag and In salts. Briefly, 88.4 mg of InCl<sub>3</sub> (0.4 mmol, Sigma Aldrich) and 17 mg 393 AgNO<sub>3</sub> (0.1 mmol, Sigma Aldrich) were placed in a 100 ml round-bottom flask. Then, 190 µL of 394 oleic acid (0.6 mmol, Sigma Aldrich), 720 µL dodecylthiol (0.6 mmol, Sigma Aldrich) and 395 8 mL of 1-octadecene (Sigma Aldrich) have been added under argon. The solution was heated at 396 60 °C for 15 min, at 90 °C for 15 min, and left at 110 °C stirring till no precipitate was visible (15-397 30 min). Then, 4 mL of solution S (9.6 mg 0.3 mmol, Sigma Aldrich) was added and the mixture 398 turned reddish. Finally, 5 mL of ZnCl<sub>2</sub> solution (70.5 mg 0.5 mmol, Sigma Aldrich) in oleylamine 399 and 1-octadecene were added and the temperature was raised to 150 °C. After 15 min, the reaction 400 was cooled with an ice bath. The QDs were purified by precipitation with ethanol, re-suspended 401 with cyclohexane, washed several times with ethanol/acetone and stored in CH<sub>2</sub>Cl<sub>2</sub>. For the water 402 transfer reaction, 2 mL of solution oil QDs (2 mg/mL dispersion) have been added to 1 mL of a 403 cysteine (50 mg 0.4 mmol) basic solution in methanol. Immediately, the QD precipitated. After 20 404 min, 5 mL of distilled water have been added and the QD passed throw the aqueous phase. 405 Subsequently, the water soluble QDs have been precipitated with acetone, washed several times 406 with acetone/ethanol and stored in distilled water. For GO conjugation QDs have been mixed with

407 GO (1 mg/mL) at 1/10 mass ratio in distilled water. The mixture has been left stirring for 3 days 408 and then purified via dialysis against distilled water.

409 Preparation of hippocampal cultures and acute hippocampal slices. Primary hippocampal 410 cultures were prepared from neonatal rats at 2-3 postnatal days (P2-P3) as previously reported.<sup>10,26,62</sup> All procedures were approved by the local veterinary authorities and performed in 411 412 accordance with the Italian law (decree 26/14) and the UE guidelines (2007/526/CE and 413 2010/63/UE). The animal use was approved by the Italian Ministry of Health. All efforts were 414 made to minimize suffering and to reduce the number of animals used. All chemicals were 415 purchased by Sigma-Aldrich unless stated otherwise. Cultures were then used for experiments 416 after  $8 \div 12$  days in vitro. Hippocampal acute slices were obtained from P<sub>7</sub>-P<sub>8</sub> rats and from juvenile  $P_{15}$  rats (n = 18 animals) using a standard protocol.<sup>63,64</sup> 417

418 Electrophysiological recordings. In dissociated hippocampal cultures, single and paired whole-419 cell recordings were obtained with pipettes (5-7 M $\Omega$ ) with the following intracellular saline 420 solution (in mM): 120 K gluconate, 20 KCl, 10 HEPES, 10 EGTA, 2 MgCl<sub>2</sub>, 2 Na<sub>2</sub>ATP, pH 7.3; 421 osmolarity 300 mOsm. The extracellular saline contained (in mM) 150 NaCl, 4 KCl, 1 MgCl<sub>2</sub>, 2 422 CaCl<sub>2</sub>, 1 MgCl<sub>2</sub>, 10 HEPES, 10 glucose, pH 7.4. Data were recorded by Multiclamp 700B patch 423 amplifier (Axon CNS, Molecular Devices) digitized at 10 KHz by pClamp 10.2 software 424 (Molecular Devices LLC, USA). Basal PSCs were recorded at - 56 mV holding potential (liquid 425 junction potential of 14 mV was not corrected for). mPSCs were recorded in the presence of TTX 426  $(1 \mu M)$  to block fast voltage-dependent sodium channels. In voltage-clamp recordings, PSCs and 427 mPSCs were detected by the use of the AxoGraph X (Axograph Scientific) event detection 428 program and by the Clampfit 10 software (pClamp suite, Axon Instruments) as previously 429 reported<sup>64</sup>. On average,  $\geq$  500 PSCs were analyzed from each cell and from the average of these

430 events we measured the peak amplitude and the decay time constant (expressed as  $\tau$ ) by fitting a 431 mono-exponential function. In paired recordings, the presynaptic neuron in current clamp mode 432 was held at -70 mV (by  $\le 0.02 \text{ nA}$  negative current injection), and action potentials were evoked 433 by delivering short (4 ms) square current pulses (1 nA). Monosynaptic connections were identified by their short delay  $(< 2 \text{ ms})^{64}$ . To characterize the short-term dynamics of synaptic contacts, we 434 435 delivered, to pairs of connected neurons, paired pulse stimulations at 20 Hz (1 pair every 20 s; 10 436 times, that were pooled together and averaged). For acute hippocampal slices, a patch-clamp 437 amplifier (Multiclamp 700B, Axon Instruments, Sunnyvale, CA, USA) allowed recordings from 438 CA1 pyramidal neurons, identified by visual inspection at an upright microscope (Eclipse FN1; 439 Nikon, Japan) equipped with differential interference contrast optics and digital videocamera 440 (Nikon, Japan). All recorded events were analyzed offline with the AxoGraph 1.4.4 (Axon 441 Instrument) event detection software (Axon CNS, Molecular Devices). s-GO was acutely delivered<sup>10</sup>, both in dissociated cells and in acute hippocampal slices, by an injection of pressurized 442 443 air (500 ms duration, 8 PSI; by a Picospritzer PDES-02DX; NPI electronic GmbH, Germany). 444 Once patch-clamped neurons, a second pipette identical to that used for patch-clamp recording, 445 was positioned at a distance of 200 µm (under microscopy control) from the recorded cell. The pipette was filled with standard saline solution (control; osmolarity 300 mosmol l<sup>-1</sup>) or with s-GO, 446 447 1-GO and us-GO (100 µg/mL in Krebs solution; osmolarity 300 mosmol l<sup>-1</sup>). The concentration of 448 GO reaching the cell was at least 10 % of that contained in the pipette, considering 1 mL of 449 extracellular solution in the recording chamber. Baseline PSCs were sampled before (10 min) and 450 after (10 min) the local ejection. Analyses were performed between 4 min and 8 min after the local 451 ejection, sampling 2 min of recordings.

452 **Confocal microscopy in hippocampal cultures.** Cultured hippocampal neurons (3 cultures; 6-8 453 DIV) were incubated for 30 minutes with s-GO (20 µg/mL). Cultures were then fixed by 4 % 454 formaldehyde (prepared from fresh paraformaldehyde; Sigma) in PBS at RT and blocked and 455 permeabilized in 5 % fetal bovine serum (FBS), 0.3 % Triton-X 100 in PBS for 30 min at RT. 456 Samples were incubated with primary antibodies (mouse monoclonal anti-bassoon, 1:400 dilution; 457 rabbit anti-\beta-tubulin III, 1:500 dilution) diluted in PBS with 5 % FBS for 45 minutes. Cultures 458 were finally incubated with secondary antibodies (Alexa 488 goat anti-mouse, Invitrogen, 1:500 459 dilution; Alexa 594 goat anti-rabbit, Invitrogen, 1:500 dilution) and DAPI (Invitrogen, dilution 460 1:200) to stain the nuclei, for 45 minutes at RT and finally mounted on 1 mm thick glass coverslips 461 using the Fluoromount mounting medium (Sigma-Aldrich). To visualize s-GO localization was used the reflection mode of confocal microscopy.<sup>58</sup> Images were acquired using a Nikon C2 462 463 Confocal, equipped with Ar/Kr, He/Ne and UV lasers. Images were acquired with a  $60 \times (1.4 \text{ NA})$ 464 oil-objective (using oil mounting medium, 1.515 refractive index). Confocal sections were 465 acquired every 0.4 µm.

466 Surgery and s-GO injection. Four experimental groups were used: standard saline solution 467 (control) and s-GO 50 µg/mL injection, analyzed at 48 h and 72 h. Surgery was performed in P<sub>15</sub> 468 Wistar rats anesthetized with ketamine (60 mg/kg i.p.) and xylazine (10 mg/kg i.p.). All animal 469 procedures were conducted in accordance with the National Institutes of Health, international and 470 institutional standards for the care and use of animals in research, and after consulting with a 471 veterinarian. All experiments were performed in accordance with the EU guidelines (2010/63/UE) 472 and Italian law (decree 26/14) and were approved by the local authority veterinary service. All 473 efforts were made to minimize animal suffering and to reduce the number of animal used. The 474 Italian Ministry of Health, in agreement with the EU Recommendation 2007/526 /CE, approved

475 animal use (authorization n° 1135/2015-PR). Animals were fixed in a stereotaxic device (World 476 Precision Instruments, WPI). An incision was made on the top of the head in order to expose the 477 skull and identify bregma and lambda coordinates. The injection of 1  $\mu$ L of saline or s-GO solution 478 (10 steps of 0.1  $\mu$ L every minute) was performed with a Hamilton syringe (26s gauge; Hamilton). 479 The following coordinates were used to reach the left dentate gyrus: AP: -3.0, ML: -3.0, DV: -3.3 relative to bregma.<sup>65</sup> At the end of the last step, the syringe was left in situ for extra 5 minutes to 480 481 optimize the solution permeation. The incision was sutured and animals were constantly monitored 482 and left undisturbed until electrophysiological or histological experiments.

483 **Histology procedures**. After 48 h or 72 h, animals were anesthetized and sacrificed by intracardiac 484 perfusion with 0.1 M PBS followed by 4 % formaldehyde (prepared from fresh paraformaldehyde; 485 Sigma) in PBS. Brains were promptly removed, postfixed in the same fixative solution for 24 h at 486 4 °C, and cryoprotected in 30 % sucrose in PBS at 4 °C for 24-48 h. Finally, brains were embedded 487 in optimal cutting temperature (OCT) compound (Tissue-Tek), frozen at - 20 °C, and sagittal 488 sections (25 µm) were obtained using a cryostat (Microm HM 550, Thermo Fisher Scientific) and 489 processed for immunohistochemistry. Tissue-Tek was removed by PBS washing and tissue 490 sections were protein-blocked in 3 % BSA, 3 % FBS and 0.3 % Triton X-100 in PBS for 45 minutes 491 at RT. Sections were then incubated overnight at 4 °C with primary antibodies (mouse anti-GFAP, 492 Sigma-Aldrich, 1:400; rabbit anti-Iba1, Wako, 1:500; rabbit anti-β-tubulin III, Sigma-Aldrich, 493 1:500; mouse anti-Bassoon, Abcam, 1:400) in 5 % FBS in PBS. After washing in PBS, sections 494 were incubated in secondary antibodies (goat anti-rabbit AlexaFluor 594, Thermo Fisher 495 Scientific, 1:400; goat anti-mouse AlexaFluor 488, Thermo Fisher Scientific, 1:400) in 5% FBS 496 in PBS for 2-4h at RT. Nuclei were labeled with DAPI (Thermo Fisher Scientific, 1:500) in PBS

497 for 20-30 minutes at RT. Upon final washing (PBS and water), tissue sections were mounted on
498 glass coverslips using Vectashield mounting medium (Vector Laboratories).

499 **Image acquisition and analysis**. We measured the brain tissue reaction by markers for reactive 500 astrocytes and microglia (GFAP and Iba1, respectively). Fluorescence images were acquired using 501 a Leica DM6000 upright microscope with a  $10 \times dry$  objective. Identical binning, gains and 502 exposure times were used for all images of the same marker. Image analysis was performed using 503 Fiji software. For both GFAP and Iba1 intensity measurements, a single region of interest (ROI, 504  $1000 \times 500 \ \mu\text{m}^2$ ) was selected at the injection site (left dentate gyrus). The background intensity 505 threshold was defined for each section using the labeling intensity measured in the contralateral 506 hemisphere in the same anatomical region (right dentate gyrus). The area within each ROI with 507 intensity above the background threshold was calculated, normalized to the contralateral 508 hemisphere and used for statistics. The ROI for all sections were averaged for each experimental 509 group. We performed this analysis also 300 µm medial and lateral to the injection site. We 510 visualized s-GO by linking QD. Fluorescence images were acquired using a Leica DM6000 511 upright microscope with a  $10 \times dry$  objective. We further analyzed the amount of synaptic contacts 512 by a specific marker for synapses (bassoon) in two experimental groups: saline and s-GO injection 513 at 48 h. Confocal images were acquired using a confocal microscope (Nikon C1) with a  $60 \times oil$ 514 objective (N.A. 1.4, oil mounting medium refractive index 1.515). Z-stacks were acquired every 515 350 nm for a total thickness of 7 µm. Identical binning, gain and exposure time was used for all images. 9 ROIs ( $70 \times 70 \ \mu m^2$ ) for each section were randomly selected at the injection site (left 516 517 dentate gyrus). Offline analysis was performed using Volocity software (Volocity 3D image 518 analysis software, PerkinElmer, USA). For each ROI, we used the Z-stack to quantify bassoon 519 signal as 3D objects. From the resulting values, we calculated the volume of only bassoon objects

520 co-localized with the β-tubulin III labeling in order to identify genuine synapses at neuronal level.
521 The ROI for all sections were pooled together and averaged for each experimental group.

522 Raman mapping of brain sections. Raman mapping of sectioned brain samples was completed

- 523 using a DXRi Raman Mapping system (Thermo Scientific, USA) using the following conditions:
- 524  $\lambda = 633$  nm, 1 mW, pixel size = 1.6 µm and frequency = 25 Hz. The maps were generated according
- 525 to the composite spectra's percentage similarity to a correlation GO spectral reference as shown.

526 Statistical analysis. All values from samples subjected to the same experimental protocols were 527 pooled together and results are presented as mean  $\pm$  S.D., if not otherwise indicated; n = number 528 of neurons, if not otherwise indicated. Statistically significant difference between two data sets 529 was assessed by Student's t-test for parametric data. Differences between the logarithmic values 530 of the analyzed variables were assessed using two-way ANOVA and multiple comparisons were 531 adjusted by Bonferroni or Holm-Sidak correction. Statistical significance was determined at P < 532 0.05, unless otherwise indicated. Significance was graphically indicated as follows: \*P < 0.05, \*\*P533 < 0.01,\*\*\*P < 0.001.

- 536 Figure 1. Characterization of s-GO. (a) AFM measure of s-GO sheets. (b) s-GO lateral
- 537 dimension distribution. (c) S-GO Raman spectrum. (d) XPS survey of QD-s-GO. UV-vis (e) and
- 538 fluorescence (f) spectra of s-GO, QD and QD-s-GO.



539

540 Figure 2. s-GO affects presynaptic glutamate release in hippocampal cultures (a) Sketch of the 541 experimental setting for simultaneous s-GO pressure-release (puff) and single-cell recording 542 from cultured neurons. (b) Top: diagram of the experimental protocol. Bottom: representative 543 tracings of the spontaneous synaptic activity detected prior and after puff applications of control 544 saline (Control, top) or s-GO (bottom). Recordings of mEPSCs are performed in the presence of 545 TTX. In (c) bar plots of pooled data summarize the average mEPSCs frequency (left) and 546 amplitude (right) before (PRE puff) and after (Washout) saline (Control) or s-GO (100 µg/mL 547 final concentration) pressure ejections (\* P < 0.05 Student's t-test). Note the reversible increase 548 in miniatures frequency due to s-GO. In (d) simultaneous pair recordings are shown: top traces 549 represent presynaptic pairs (30 Hz) of action potentials, bottom ones the corresponding evoked 550 monosynaptic PSCs (GABA<sub>A</sub>-receptor mediated on the left and glutamate AMPA-receptor 551 mediated on the right) prior and after s-GO puffs. The paired-pulse ratios (PPR) measured prior 552 and after saline solution (Control) and s-GO puffs are summarized in the histograms; note that s-

- 553 GO reduced the first evoked AMPA-receptor mediated PSCs and the PPR of glutamatergic
- 554 synapses (\*P < 0.05 Student's t-test) supporting the notion of s-GO affecting presynaptic release.

556



In vivo s-GO injection







Figure 3. EPSCs frequency modulation by s-GO in hippocampal slices in vitro and in vivo (a) 558 559 Sketch of the experimental setting for simultaneous s-GO release and recording from 560 hippocampal pyramidal cells. In (b) top: diagram of the experimental protocol. Bottom: 561 representative current tracings recorded prior and after saline (Control; top) and s-GO (bottom) 562 local pressure ejections. Glutamate AMPA-receptor mediated PSCs or GABAA-receptor 563 mediated ones (EPSCs and IPSCs, respectively) were pharmacologically isolated and bar plots in 564 (c) summarize the mean values of EPSCs and in (d) of IPSCs frequency before and after saline 565 (Control) or s-GO puffs (\*P < 0.05 Student's t-test). Note that also in hippocampal slice explants 566 only glutamatergic activity was transiently affected by brief local injection of s-GO. In (e) plots 567 of pooled data represent the average PSCs frequency upon 30 min, 45 min, 3 h and 6 h s-GO 568 incubation (50 µg/mL final concentration; Control: blue circles; s-GO: red squares). Note that 569 prolonged incubation in s-GO depresses spontaneous synaptic activity. Linear regression 570 analysis of the two time progressions is depicted as blue and red fitting lines (y = 4.91+0.11x for 571 Control and y = 5.56-0.74x for s-GO, respectively) together with their corresponding confidence 572 interval in pale blue and pale red, respectively. Regardless the significance of the difference 573 between each two conditions at a specific time point (at 30 m P = 0.91, at 45 m P = 0.60, at 3 h P 574 = 0.07, at 6 h P = 0.06), multiple regression statistical analysis revealed that the zero slope 575 hypothesis is accepted for Controls but not for s-GO. The equal slope hypothesis between the 576 two trends was instead rejected by a Tukey test on the two slopes. f) In vivo intra-hippocampal s-577 GO delivery reversibly reduces glutamatergic synaptic activity in adult rats: sketch of the 578 experimental settings (left) and (right) spontaneous synaptic activity recorded from ex vivo 579 hippocampal slices isolated from juvenile rats after 48 h from the surgery. Recordings were 580 taken from the contralateral, control (saline) and s-GO (50 µg/mL final concentration) injected 581 hemisphere after 48 h from surgery. In (g) bar plots summarize the PSCs and in (h) the EPSCs 582 frequency in control and s-GO treated animals after 48 h and after 72 h from surgery (\*\*P < 583 0.001 two-way ANOVA; \*P < 0.05 two-way ANOVA). Note that the specific reduction in EPSC 584 frequency at 48h that was entirely reversed at 72 h.

585



588 Figure 4. In vivo delivery of s-GO is localized and does not alter excitatory synaptic density. In 589 (a) confocal Raman maps were acquired to establish the location of s-GO within cryosectioned 590 dendate gyrus' of s-GO (1.3 mg/mL) treated animals over time (48 h and 72 h). Scale bars = 500 591 nm. Maps were generated based on the acquired spectra's correlation to a s-GO reference spectra, 592 shown on the right. The dentate gyrus of rats injected with saline (Controls) and rats treated with 593 a higher concentration (1.3 mg/ mL) (positive control) were also examined for comparison. The 594 acquisition parameters were as follows  $\lambda$ = 633 nm, laser power = 1 mW, frequency = 25 Hz and 595 a pixel size of 1.6 µm. In (b) Ex vivo fluorescence imaging of hippocampal slices processed for 596 Iba1-positive microglia (in green) and QD linked to s-GO (in red) at the injection site after 48 h. 597 DAPI for nuclei is in blue. Note the precise localization of s-GO within the target area. (c) Ex 598 vivo confocal images of hippocampal synapses at the injection site, excitatory presynaptic 599 terminals were identified by the marker bassoon (in green), in neurons co-labeled with β-tubulin 600 III (in red) and results are shown for saline (control) and s-GO injections after 48 h. DAPI for nuclei is in blue. Analysis has been performed at the higher magnification on  $70 \times 70 \ \mu\text{m}^2$  ROIs 601 602 shown in (d) and results are summarized by the bar plots. No differences in bassoon 603 quantification were detected between saline and s-GO injection after 48h. Scale bars: 100 µm in 604 (b), 25 µm in (c) and 10 µm in (d).

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606

609	Figure 5. Brain tissue reactivity to surgery and s-GO injections after 48 h and 72 h. Ex vivo
610	hippocampal slices from saline (control) and s-GO injected brains were labeled for GFAP-
611	positive astrocytes (in green, top row) and Iba1-positive microglia (in red, bottom row) and the
612	injection site (left dentate gyrus, saline vs. s-GO 50 $\mu g/mL)$ are shown after 48 h (A) and 72 h
613	(B). DAPI for nuclei is in blue. Scale bar: 100 $\mu$ m. Bar plots in (C) and (D) quantify the glial
614	reaction 48 h and 72 h post- surgery. Comparable values of GFAP and Iba1 immunoreactivity
615	between saline and s-GO were observed in the hippocampus at 300 $\mu$ m distance from the
616	injection site, either lateral or medial in both 48 h and 72 h post-surgery. Notably, at the injection
617	site, s-GO induced lower GFAP immunoreactivity at 48 h and lower Iba1 immunoreactivity at 72
618	h when compared to controls. (** $P < 0.01$ two-way ANOVA).

#### 623 ASSOCIATED CONTENT

624 Supplementary Information: Supplementary Figure 1; Supplementary Figure 2625

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#### 629 Author Contributions

- 630 R.R. set up the experiments, performed and design electrophysiology and data analysis and
- 631 contributed to the writing of the paper; M.M. performed the surgery, histology and confocal
- analysis; L.N. performed Raman spectroscopy and S.V. confocal microscopy, while both
- 633 contributed to the writing of the results and methods; G.R and A.B. performed the synthesis and
- 634 characterization of QD s-GO and contributed to the microscopy; M.P. contributed to the
- 635 experimental design; K.K. contributed with synthesis and characterization of GO sheets,
- 636 contributed to the experimental design and paper writing; L.B. conceived the idea, the
- 637 experimental design and wrote the paper.

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